Office of the City Clerk

MEMORANDUM

Date: **September 11, 2002**

To: **Christie, Secretary of State's Office**

From: **Lynnette R. Jones, Acting City Clerk**

Subject: ***Campaign Contribution and Expenditure Forms - Report #1***

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Please note that this Office has not received the required *Campaign Contribution and Expenditure Form - Report #1* - from Ken Haller or Andrew Putnam, both Mayoral Candidates. Also, we received the report from Rose Gordon (Ward 4 Candidate who withdrew from the race) on August 28, 2002.

I have attached the Declaration of Candidacy for each and a copy of Ms. Gordon's form showing the time stamp.

Should you have any questions, please feel free to call.

xc: file

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Declaration of Candidacy of

ANDREW L PUTNAM

For the Office of

MAYOR

RECEIVED
2002 MAY 10 AM 9:33
CITY OF RENO
BY _____
CITY CLERK

STATE OF NEVADA

COUNTY OF WASHOE

For the purpose of having my name placed on the official ballot as a candidate for the office of MAYOR,

I, the undersigned ANDREW L. PUTNAM, do swear or affirm under penalty of perjury that I actually, as opposed to constructively, reside at 1555 SKYVALLEY DR C104, in the City or Town of RENO, County of WASHOE, State of Nevada; that my actual, as opposed to constructive, residence in the state, district, county, township, city or other area prescribed by law to which the office pertains began on a date at least 30 days immediately preceding the date of the close of filing of declarations of candidacy for this office; that my telephone number is (775) 624 0311, and the address at which I receive mail, if different than my residence, is SAME; that if nominated as a nonpartisan candidate at the ensuing election I will accept the nomination and not withdraw; that I will not knowingly violate any election law or any law defining and prohibiting corrupt and fraudulent practices in campaigns and elections in this state; that I will qualify for the office if elected thereto, including, but not limited to, complying with any limitation prescribed by the constitution and laws of this state concerning the number of years or terms for which a person may hold the office; and my name will appear on all ballots as designated in this declaration.

Andrew L Putnam
Signature of candidate for office

ANDREW L PUTNAM
Designation of name to appear on ballot

ANDREW L PUTNAM
If elected, designation of name to appear on certificate of election

_____
E-mail address (optional)

Subscribed and sworn to before me this 10th day of the month of May, of the year 2002

Lynnette R. Jones
Notary Public or other person authorized to administer an oath

LYNNETTE R. JONES
Notary Public - State of Nevada
Appointment Recorded in Washoe County
No: 92-4379-2 - Expires December 5, 2005

Prescribed by Secretary of State
NRS 293.177

DEAN HELLER
Secretary of State

RENEE L. PARKER
Chief Deputy Secretary of State

PAMELA A. ASHWORTH
Deputy Secretary for Southern Nevada

STATE OF NEVADA

CHARLES E. MOORE
Securities Administrator

SCOTT W. ANDERSON
Deputy Secretary for Commercial Recordings

SUSAN BILYEU
Deputy Secretary for Elections

OFFICE OF THE

SECRETARY OF STATE

September 18, 2002

Mr. Andrew Putnam
1555 Sky Valley Drive, #C104
Reno, Nevada 89503

Dear Mr. Putnam:

This office has been notified by the Reno City Clerk that you failed to file your Contributions and Expenses Report Number 1 as required by Nevada Revised Statute (NRS) 294A.120 and 294A.200. As a candidate for the office of Mayor, you are required to comply with Nevada Election Laws. Failure to timely file your Contributions and Expenses Report is a violation of such laws and subjects you to a monetary penalty as discussed below.

The Secretary of State, as the Chief Elections Officer for the State of Nevada, is responsible for enforcing the provisions of Title 24, Election Laws, which includes Chapter 294A of the NRS.

On the 10th of May, 2002, you signed an acknowledgement wherein it states that you understand the requirement to timely file your Contributions and Expenses Reports even if:

- You withdrew your candidacy;
- You had no opposition;
- You lost the primary;
- Your name does not appear on either the primary or general election ballot;
- You were elected to office; or
- You did not file a declaration of candidacy, but are a candidate as defined in NRS 294A.005 because you received campaign contributions in excess of $100.

This acknowledgement also states that you understand that a violation of the reporting requirements is subject to a civil penalty of up to $5,000 for each violation.

LAS VEGAS OFFICES
555 E. Washington Avenue
SECURITIES: SUITE 5200
Telephone (702) 486-2440
Fax (702) 486-2452
CORPORATIONS: SUITE 4000
Telephone (702) 486-2880
Fax (702) 486-2888

MAIN OFFICE
101 N. Carson Street, Suite 3
Carson City, Nevada 89701
Telephone (775) 684-5708
Fax (775) 684-5725

CORPORATE SATELLITE OFFICE
202 N. Carson Street
Carson City, Nevada 89701
Telephone (775) 684-5708
Fax (775) 684-5725

In addition, this office sent out a notice on August 14, 2002, reminding you that the report was due on August 27, 2002.

The penalty schedule is set forth in NRS 294A.420 as follows:

- If the report is filed 1-7 days late, $25 for each day the report is late.
- If the report is filed 8-15 days late, $50 for each day the report is late.
- If the report is filed more than 15 days late, $100 for each day the report is late, up to a maximum of $5,000 for each violation.

NRS 294A.420 authorizes the Secretary of State to waive a penalty for good cause shown. Nevada Administrative Code (NAC) 294A.097 defines good cause as: (1) Within a reasonable time before or on the date the applicable report was due, the candidate or a member of the family of the candidate, within the second degree of consanguinity, died, had a serious medical condition or was hospitalized; or (2) The candidate is experiencing extreme financial hardship. A request for waiver of any penalty imposed pursuant to NRS 294A.420, must be made **in writing,** addressed to:

Secretary of State
101 North Carson Street, Suite 3
Carson City, Nevada 89701-4786

and must set forth the reasons which you believe establish good cause. The delinquent report must be filed before the Secretary of State will consider a request for waiver of the penalty.

As of this date you have failed to file your Report Number 1. This report was due on **August 27, 2002**. The penalty assessed to date is $1,275.00. Please be advised that the penalty will continue to accrue at a rate of $100.00 per day according to NRS 294A.420 until the filing of your report. Pursuant to NAC 294A.098 you **may not** use campaign contributions to pay this penalty.

Enclosed is a blank copy of the 2002 Contributions and Expenses Report. Please fill out the report and file it with this office immediately. If you are going to request a waiver, please include that with your filing. Also enclosed for your reference is a copy of your signed acknowledgement and the pertinent statutes and regulations. As a reminder, there are two more reports that must be filed: **Report Number 2 is due October 29, 2002, and Report Number 3 is due January 15, 2003.**

If you have subsequently filed your report with your filing officer, or believe you have received this letter in error, please provide this office proof of filing.

If you have questions or require further assistance, please contact the Elections Division at (775) 684-5705.

Sincerely,

DEAN HELLER
Secretary of State

By: Kristi D. Geiser
Kristi D. Geiser
Campaign Finance Officer

Encl

cc: Renee Parker, Chief Deputy Secretary of State (w/o encl)
Kateri Cavin, Senior Deputy Attorney General (w/o encl)
Reno City Clerk (w/o encl)

MAIN OFFICE:
101 N. Carson Street
Suite 3
Carson City, Nevada 89701-4786
Telephone (775) 684-5708
Fax (775) 684-5725

SECURITIES DIVISION:
555 E. Washington Avenue
Suite 5200
Las Vegas, Nevada 89101
Telephone (702) 486-2440
Fax (702) 486-2452

SECURITIES SATELLITE OFFICE:
1755 E. Plumb Lane
Suite 231
Reno, Nevada 89502
Telephone (775) 688-1855
Fax (775) 688-1858

CORPORATE SATELLITE OFFICE:
555 E. Washington Avenue
Suite 2900
Las Vegas, Nevada 89101
Telephone (702) 486-2880
Fax (702) 486-2888